

PLEASE BRING THIS AGENDA WITH YOU

1

The Lord Mayor will take the Chair at ONE
of the clock in the afternoon precisely.



COMMON COUNCIL

SIR/MADAM,

You are desired to be at a Court of Court of Common Council, at **GUILDHALL**, on **THURSDAY** next, the **24th day of May, 2012**.

CHRISTOPHER DUFFIELD,
Town Clerk & Chief Executive.

*Guildhall,
Wednesday 16th May 2012*

Dr Andrew Charles Parmley, Mus.M., Hon. F.S.G.

John Richard Cottam White, T.D.

}

Aldermen on the Rota

1 Question - That the Minutes of the last Court are correctly recorded?

2 The Right Honourable The Lord Mayor's report on overseas visits.

3 Resolutions on Retirements, Congratulatory Resolutions, Memorials, etc.

4 Statement from the Chairman of the Policy and Resources Committee.

5 Docquets for the Hospital Seal.

6 List of applicants for the Freedom of the City:

(A list of names, together with those of the nominators, has been separately circulated).

7 The Town Clerk to report the result of a ballot taken at the last Court, viz:-

(a) * denotes appointment

§ denotes less than ten years' service on the Court;

denotes less than five years' service on the Court; and

^ denotes a Member whose primary residence is in the City of London.)

For appointments to the Policy and Resources Committee (five vacancies - at least one Member to have less than ten years' service on the Court).

Simon D'Olier Duckworth, D.L.	67*
§ Robert William Duffield, B.A., M.Litt.(Oxon)	56*
§ Marianne Bernadette Fredericks	52*
Dr. Peter Bernard Hardwick, Q.H.P.	36
Tom Hoffman	44
Catherine McGuinness, M.A., Deputy	87*
§ Dr Giles Robert Evelyn Shilson, Deputy	54*

(b) For appointments to the Hospitality Working Party of the Policy and Resources Committee (four vacancies).

John Alfred Barker, O.B.E., Deputy	15
Douglas Barrow, Deputy	58*
William Harry Dove, M.B.E., J.P., Deputy	20
Simon D'Olier Duckworth, D.L.	36*
Robin Anthony Eve, O.B.E., Deputy	20
William Barrie Fraser, O.B.E., Deputy	60*
Dr. Peter Bernard Hardwick, Q.H.P.	21
Charles Edward Lord, O.B.E., J.P., Deputy	27
Julian Henry Malins, Q.C.	24
Janet Owen, M.B.E., Deputy	16
Ann Marjorie Francesca Pembroke	6
Richard David Regan, Deputy	55*

(c) For appointments to the Energy and Sustainability Sub, Policy and Resources Committee (four vacancies).

Archibald Duncan Galloway, O.B.E.	71★
Alison Jane Gowman, Alderman	87★
David Andrew Graves, Alderman	65★
Sylvia Doreen Moys	55
Fiona Woolf, C.B.E., Alderman	91★

- (d) For appointments to the Police Committee (eleven vacancies – at least one Member to have less than five years' service on the Court and a minimum of two Members must have their primary residence in the City of London).

^ John Alfred Barker, O.B.E., Deputy	37
# Douglas Barrow, Deputy	64★
Mark John Boleat	66★
Simon D'Olier Duckworth, D.L.	76★
^ Robert William Duffield, B.A., M.Litt.(Oxon)	57★
^ John Fletcher	49
#	
^ Alison Jane Gowman, Alderman	55★
Brian Nicholas Harris	53★
Stanley Keith Knowles, Deputy	56★
^ Vivienne Littlechild	51
^ Ian David Luder, B.Sc.(Econ.), Alderman	71★
# Robert Allan Merrett	24
^ Joyce Carruthers Nash, O.B.E., Deputy	66★
James Henry George Pollard	65★
Richard David Regan, Deputy	60★

For appointments to the Board of Governors of the City of London School (two vacancies).

Nigel Kenneth Challis	30
William Harry Dove, M.B.E., J.P., Deputy	64★
Sylvia Doreen Moys	25
Joyce Carruthers Nash, O.B.E., Deputy	78★

For appointments to the Gresham Committee (City Side) (three vacancies).

Robin Anthony Eve, O.B.E., Deputy	57★
Archibald Duncan Galloway, O.B.E.	56
Brian Nicholas Harris	95★
Tom Hoffman	78★

For an appointment to the Epping Forest & Commons Committee (two vacancies).

The Revd. Dr. Martin Dudley	28
Kevin Malcolm Everett D.Sc.	26
Wendy Marilyn Hyde	34
Vivienne Littlechild J.P.	38★
Charles Edward Lord, O.B.E., J.P., Deputy	30
Catherine McGuinness, M.A., Deputy	95★

Richard David Regan, Deputy	51★
Jeremy Lewis Simons M.Sc.	48★

For appointments to the Barbican Centre Board (four vacancies)

The Revd. Dr. Martin Dudley	28
Kevin Malcolm Everett D.Sc.	26
Wendy Marilyn Hyde	34
Vivienne Littlechild J.P.	38★
Charles Edward Lord, O.B.E., J.P., Deputy	30
Catherine McGuinness, M.A., Deputy	95★
Richard David Regan, Deputy	51★
Jeremy Lewis Simons M.Sc.	48★

For appointments to The City Bridge Trust Committee (three vacancies - at least one Member to have less than five years' service on the Court).

Raymond Michael Catt	69★
William Harry Dove, M.B.E., J.P., Deputy	71★
# John Fletcher (WITHDRAWN)	-
# Michael Hudson	24
# Oliver Arthur Wynlayne Lodge, T.D, B.Sc.	48
# Ian Christopher Norman Seaton	64★
(j) For appointments to the Standards Committee (two vacancies).	

Nigel Kenneth Challis	36
The Revd. Dr. Martin Dudley	36
Robin Anthony Eve, O.B.E., Deputy	54★
Julian Henry Malins, Q.C.	66★

8 To appoint the following:-

8. To appoint the following:-

* denotes a Member standing for re-appointment;
 § denotes less than ten years' service on the Court;
 # denotes less than five years' service on the Court; and
 < denotes a Member who served on the original Governance Review Working Party.

(a) One Member on the Board of Governors of the Museum of London for the balance of a term expiring in November 2013;

Nominations received:-
 Michael Henderson-Begg
 Wendy Marilyn Hyde
 Jeremy Paul Mayhew, M.A., M.B.A.
 Ann Marjorie Francesca Pembroke

Neil Graham Morgan Redcliffe, Alderman
 John George Stewart Scott, J.P., B.A.(Hons)
 Jeremy Lewis Simons M.Sc.

- (b) Six Members on the Post Implementation Governance Review Working Party, of which not more than three Members shall have served on the original Governance Review Working Party, at least one Member shall have less than ten years' service on the Court and one Member shall have less than five years' service on the Court;

Nominations received:-

John Alfred Barker, O.B.E., Deputy

Douglas Barrow, Deputy

§ Raymond Michael Catt

Robin Anthony Eve, O.B.E., Deputy

Dr. Peter Bernard Hardwick, Q.H.P.

§ Michael Hudson

Sylvia Doreen Moys

Joyce Carruthers Nash, O.B.E., Deputy

§ Jeremy Lewis Simons M.Sc.

<# James Richard Tumbridge

- (c) One Member on the Port Health & Environmental Services Committee to fill a Ward vacancy;

Nominations received:-

John Alfred Barker, O.B.E., Deputy

Gregory Alfred Lawrence

Peter Leck

Chris Punter

- (d) One Member on the Bridewell Royal Hospital for the balance of a term to expire in November 2016;

Nomination received:-

Wendy Marilyn Hyde

- (e) One Member on the Trust for London for a five year term to expire in May 2017;

Nomination received:-

Archibald Duncan Galloway, O.B.E.

9 **QUESTIONS**

10 **MOTIONS**

11 **Awards and Prizes**

12 **Policy and Resources Committee**

(Mark John Boleat)

(A) Recruitment of Independent Person/s - New Standards Regime - Report of action taken under urgency procedures

The Localism Act 2011 received Royal Assent on 15 November 2011 and, once fully brought into force, will abolish the current standards regime under the Local Government Act 2000.

The Department for Communities and Local Government (DCLG) envisages that the new standards regime provided for in the Act will come into force on 1 July 2012. In line with the requirements of the Act, the Court of Common Council must adopt and publicise a code dealing with the conduct that is expected of Members and Co-opted Members. Under the Act, the City Corporation is required to appoint at least one Independent Person whose views:

- (i) must be sought, and taken into account, by the City Corporation before it makes its decision on an allegation that it has decided to investigate;
- (ii) may be sought by the City Corporation in relation to an allegation in other circumstances; and
- (iii) may be sought by a member against whom an allegation has been made.

The Independent Person/s must not have been a Member, Co-opted Member or Officer of the City Corporation in the last five years, nor be a relative or close friend of a Member, Co-opted Member or Officer. The current legal advice obtained by the Association of Council Secretaries and Solicitors (ACSeS) is that the Independent Members of the existing Standards Committee are not eligible.

The selection procedure, as below, will be followed for the appointment of all future Independent Person/s to support the City Corporation's new standards arrangements:

- (a) The placing of an advert to be consistent with other appointment processes that are followed by the City Corporation when appointing independent external representatives to formal decision-making bodies.
- (b) The Selection Panel is authorised to shortlist and interview applicants for the role of Independent Person/s, and shall comprise of the Chairman of the General Purposes Committee of Aldermen, the Chief Commoner and the Chairman of the Policy and Resources Committee, or their representatives.
- (c) Following any recommendations by the Selection Panel, the Policy and Resources Committee shall consider the appointment and make a recommendation to the Court of Common Council. Approval of any recommended appointments, in advance of the anticipated 1 July 2012 implementation date, will take place following consideration of a report to the Court of Common Council on 21 June 2012.

In order to ensure that the City Corporation can continue to fulfil its statutory responsibilities as of 1 July 2012, urgent approval of the recruitment process in respect of appointments to the role of Independent Person/s was required.

We therefore report action taken as a matter of urgency pursuant to Standing Order No.19.

(B) Applications for the Use of Guildhall

In accordance with the arrangements approved by the Court on 21 June 2001 for the approval of applications for the use of Guildhall, we now inform the Court of the following applications which have been agreed to:-

Name	Date	Function
King's Holidays	Saturday 9 June 2012	Dinner
Lord Mayor's Appeal 2012	Monday 9 July 2012	Concert
Government of Gibraltar	Monday 15 October 2012	Reception
St John Ambulance	Wednesday 24 October 2012	Dinner
Guildhall School of Music & Drama	Friday 2 November 2012	Graduation
Wine & Spirit Education Trust (WSET)	Monday 21 January 2013	Graduation
American School in London	Saturday 16 March 2013	Dinner

(C) Social Event Fund

Your Policy and Resources Committee recently approved, in principle, the establishment of a £20 million Social Investment Fund (SIF) from within the Bridge House Estates Fund. Events in the social investment arena are moving fast and the time appeared to be right for the City Corporation to establish such a Fund.

Whilst the progression of the initiative is subject to settling the management arrangements and any other necessary approvals, it presented a significant opportunity to maximise the social impact of the City Corporation's investments by making the capital work harder to achieve a social, as well as a financial, return. It is also a demonstration of our commitment to the social investment agenda.

A printed and circulated report thereon has therefore been submitted for your information.

(D) Appointment of High Officers

Following the discussion which took place at the Court in January about the appointment process at the time of the appointment of the Comptroller and City Solicitor, your Policy and Resources Committee has reviewed the appointment of the City Corporation's High Officers and, in particular, their election by the Court of Common Council.

The current arrangements were considered in detail. This included a suggestion on the possibility of involving more Members in the recruitment process and whether a presentation and set questions from the floor was a satisfactory means of assessing candidates.

A printed and circulated report on the outcome of the review has been submitted and we commend the recommendations to you.

(E) London 2012 Paralympic Games: Opening Ceremony Reception

2012 will be the first time the modern Paralympic Games has returned to London since the first Games for disabled athletes, then known as the Stoke Mandeville Games, were staged here in conjunction with the 1948 Olympic Games.

Both the City and the UK have a large reputational and financial investment in both the Olympic and Paralympic Games. Members will recall the City has already hosted a reception at Guildhall during the fact-finding visit to London by the National Paralympic Committees' Chefs de Mission, whose job is to ensure that participating athletes enjoy a stress-free and successful experience during the Games.

With this in mind and the fact that the International Paralympic Committee will also be staying in the City throughout the Paralympic Games, it is recommended that a number of guests be invited to attend a reception to be held on Wednesday 29 August 2012 at the Guildhall immediately prior to the Paralympic Games Opening Ceremony; it being referred to this Committee to make the necessary arrangements out of City's Cash within the approved cost parameters. This would provide a unique opportunity for the City of London to support and engage with this prestigious global event. Guests would include senior UK government figures, senior overseas representatives, Sports Ministers, members of the International Olympic and Paralympic Executive Committees, and other prominent personalities and representatives from the international sports, cultural and creative communities.

This would be a Committee event.

City's Cash Committees - Reports

- 13 The City Bridge Trust Committee
(William Harry Dove M.B.E., J.P., Deputy)

8 May 2012

Freedom Applications

On Thursday 17 May 2012 The City Bridge Trust plans to launch 'Growing Localities', the one-off £2m grants programme, previously agreed, to mark Her Majesty The Queen's Diamond Jubilee. 'Growing Localities' will promote the use of green spaces and encourage people to grow their own food through community involvement and volunteering. It is hoped that the programme will help reduce social isolation, teach the value of biodiversity and provide horticultural work training for young unemployed people and those with special needs.

The programme is to be launched by Raymond Blanc, Chef & Joanna Lumley, Actress at a green pop-up space in the Guildhall Yard. Planting workshops, will be hosted by the City of London's Open Spaces department demonstrating how easy it

is to grow your own food, while several City Farms will sell their locally grown produce.

In acknowledging their assistance and in appreciation of their efforts, applications for the Freedom of the City of London were duly proposed and seconded for both Mr Blanc and Ms Lumley and as there was no meeting of the Court scheduled to take place before the launch day, it was necessary to approve the applications using urgency procedures.

In accordance with Standing Order No.19, we now report the action taken.

MOTION

14 Kenneth Edwin Ayers M.B.E., Deputy

14 “That the public be excluded from the meeting for one item of business concerning a recommendation of the Policy & Resources Committee on an application for hospitality on the grounds that it involves the likely disclosure of exempt information as defined in Section 100(4) of Schedule 12A of the Local Government Act, 1972 as it has been conveyed to the City Corporation in confidence by Her Majesty’s Government.

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List of Applications for the Freedom

To be presented on Thursday, 24th May, 2012

To the Right Honourable The Lord Mayor, Aldermen and Commons of
the City of London in Common Council assembled.

Set out below is the Chamberlain's list of applicants for the Freedom of the City together with the names, etc. of those nominating them.

Richard Charles Ashley Davies	a Business Development Director	Petersfield, Hampshire
<i>David Christopher Ashley Davies</i>	<i>Citizen and Insurer</i>	
<i>Allison Victoria Potts</i>	<i>Citizen and Insurer</i>	
Edward John Ward, BEM	a Local Government Officer, retired	Whetstone, Barnet
<i>Patrick Joseph O'Brien, TD, DL</i>	<i>Citizen and Carman</i>	
<i>Donald James John McEwen</i>	<i>Citizen and Carman</i>	
The Rt. Hon Lord Andrew Mawson, OBE	a Regeneration Company Director	Hackney
<i>Kevin Malcolm Everett, CC</i>	<i>Citizen and Fletcher</i>	
<i>Andrew Charles Parmley, Ald.</i>	<i>Citizen and Musician</i>	
Maurice Edward Merrell	an Organ Builder	St. Marylebone, Westminster
<i>Maureen Wendy Frances Kellett, JP,</i>	<i>Citizen and Farrier</i>	
<i>Andrew Charles Parmley, Ald.</i>	<i>Citizen and Musician</i>	
Peter John Curle	a Civil Servant, retired	Barnwood, Gloucestershire
<i>John Alexander Smail</i>	<i>Citizen and Distiller</i>	
<i>John Donald Lunn</i>	<i>Citizen and Fan Maker</i>	
Rosemary Cecily Curle	a Nurse, retired	Barnwood, Gloucestershire
<i>John Alexander Smail</i>	<i>Citizen and Distiller</i>	
<i>John Donald Lunn</i>	<i>Citizen and Fan Maker</i>	
Andrew John Curle	a Lawn Care Operative	Churchdown, Gloucestershire
<i>John Alexander Smail</i>	<i>Citizen and Distiller</i>	
<i>John Donald Lunn</i>	<i>Citizen and Fan Maker</i>	
Tracey Jane Curle	a Receptionist	Churchdown, Gloucestershire
<i>John Alexander Smail</i>	<i>Citizen and Distiller</i>	
<i>John Donald Lunn</i>	<i>Citizen and Fan Maker</i>	
Liam Mark Davis	a Teacher	Tottenham, Haringey
<i>John Alexander Smail</i>	<i>Citizen and Distiller</i>	
<i>Anthony John Keith Woodhead</i>	<i>Citizen and Tax Adviser</i>	
John Ambrose	a Port Health Officer	Holbrook, Ipswich, Suffolk
<i>Peter Bernard Hardwick, QHP, CC</i>	<i>Citizen and Barber</i>	
<i>John Alfred Barker, OBE, Deputy</i>	<i>Citizen and Basketmaker</i>	

Frederick Walter Frank Bruce <i>John Alexander Smail</i> <i>John Donald Lunn</i>	a Property Landlord <i>Citizen and Distiller</i> <i>Citizen and Fan Maker</i>	Coltishall, Norwich, Norfolk
Richard John Burch <i>John Alfred Barker, OBE, Deputy</i> <i>Peter Bernard Hardwick, QHP, CC</i>	a Laboratory Technician <i>Citizen and Basketmaker</i> <i>Citizen and Barber</i>	High Street, Ingatestone, Essex
Kevin Little <i>John Alfred Barker, OBE, Deputy</i> <i>Peter Bernard Hardwick, QHP, CC</i>	a Maintenance Company Director <i>Citizen and Basketmaker</i> <i>Citizen and Barber</i>	Buckhurst Hill, Essex
John David Alligan <i>John Alfred Barker, OBE, Deputy</i> <i>Peter Bernard Hardwick, QHP, CC</i>	an Advertising Company Director <i>Citizen and Basketmaker</i> <i>Citizen and Barber</i>	Theydon Bois, Essex
Stephen Roger Wills <i>John Alexander Smail</i> <i>John Donald Lunn</i>	an Engineering Company Director, retired <i>Citizen and Distiller</i> <i>Citizen and Fan Maker</i>	Sheffield, South Yorkshire
Graham John Gilbert <i>Marianne Bernadette Fredericks, CC</i> <i>Kevin Malcolm Everett, CC</i>	a City of London Police Officer <i>Citizen and Baker</i> <i>Citizen and Fletcher</i>	Mottingham, Greenwich
Jonathan Peter William Gilbert <i>Marianne Bernadette Fredericks, CC</i> <i>Kevin Malcolm Everett, CC</i>	a City of London Police Officer, retired <i>Citizen and Baker</i> <i>Citizen and Fletcher</i>	Rainham, Kent
Andrew Christopher Penny <i>Andrew Charles Parnley, Ald.</i> <i>Roger Gifford, Ald.</i>	a Printing Company Director <i>Citizen and Musician</i> <i>Citizen and Musician</i>	Bovey Tracey, Newton Abbot, Devon
Alan John White <i>Martin Robert Charles Humphrys</i> <i>Paul Baldwin</i>	a Telecommunications Company Manager, retired <i>Citizen and Wheelwright</i> <i>Citizen and Wheelwright</i>	Selsdon, Croydon
Rodney Lang Pell <i>Denys Tweddell</i> <i>Gordon Gorick Greenfield, RD</i>	a Consultant Orthopaedic Surgeon, retired <i>Citizen and Apothecary</i> <i>Citizen and Master Mariner</i>	Minster, Near Ramsgate, Kent
Rita Bellamy-James <i>Pauline Ann Halliday, OBE, Deputy</i> <i>Peter Ashley Holmes Halliday</i>	a Charity Chairman <i>Citizen and Farrier</i> <i>Citizen and Fruiterer</i>	Lambeth
Barry David Palmer <i>David Anthony Barrett</i> <i>Eric Hugh Foster</i>	a Bank Manager, retired <i>Citizen and Coachmaker and Coach Harness Maker</i> <i>Citizen and Glazier</i>	Billericay, Essex
Raymond Derek Burn <i>Bryan Green</i> <i>Evelyn Green</i>	a Traffic Department Foreman, retired <i>Citizen and Glover</i> <i>Citizen and Glover</i>	Dagenham, Barking and Dagenham
Michael Quinn <i>Henry Llewellyn Michael Jones, Deputy</i> <i>Gareth Wynford Moore, CC</i>	a Publican <i>Citizen and Common Councilman</i> <i>Citizen and Joiner</i>	Wanstead, Redbridge

Branislav Trajkovski <i>Michael Raymond Mainelli</i> <i>Mark Geoffrey Yeandle</i>	an Investment Banker <i>Citizen and World Trader</i> <i>Citizen and Weaver</i>	Canada Water, Southwark
Janet Elizabeth Fortune <i>Janet Owen, Deputy, MBE</i> <i>Barbara Patricia Newman, CBE, CC</i>	a Head of Human Resources and Business Services <i>Citizen and Gardener</i> <i>Citizen and Turner</i>	Shoreham, Sussex
Peter Frederick Stenning <i>Andrew Peter Frederick Stenning</i> <i>Derek Franklin Oliver</i>	a Garage Proprietor <i>Citizen and Basketmaker</i> <i>Citizen and Basketmaker</i>	Burgess Hill, Sussex
Timothy Charles Ledger <i>Leonard William Kelsey</i> <i>Paul Ernest Woodley</i>	a Client Relationship Manager, retired <i>Citizen and Tobacco Pipe Maker and Tobacco Blender</i> <i>Citizen and Tobacco Pipe Maker and Tobacco Blender</i>	Harpenden, Hertfordshire
Graham Colin Charters <i>David Burns</i> <i>John Wallace Mitchell</i>	a Technical Business Analyst <i>Citizen and Lightmonger</i> <i>Citizen and Chartered Accountant</i>	Harold Hill, Havering
Dieter Roger Klostermann <i>Sir Paul Judge, Kt., Ald.</i> <i>Anthony Murray Belchambers</i>	a Property Development Company Chairman <i>Citizen and Marketor</i> <i>Citizen and International Banker</i>	Welwyn, Hertfordshire
Allan Stokoe <i>Stanley Brown, QGM, TD</i> <i>Graham John Peacock</i>	an Accountant <i>Citizen and Loriner</i> <i>Citizen and Loriner</i>	Ingleby Barwick, Stockton on Tees, Teesside
Mavis White <i>Gordon Warwick Haines, Ald.</i> <i>Peter Kenneth Estlin</i>	a Laundry Assistant <i>Citizen and Needlemaker</i> <i>Citizen and International Banker</i>	Wormley, Surrey
Siobhan Majella Bradley <i>David Cannell</i> <i>Graham Woodhouse</i>	an Education Officer <i>Citizen and Hackney Carriage Driver</i> <i>Citizen and Hackney Carriage Driver</i>	Mill Hill
Liam John Browne <i>David Cannell</i> <i>Graham Woodhouse</i>	a Lecturer <i>Citizen and Hackney Carriage Driver</i> <i>Citizen and Hackney Carriage Driver</i>	Islington
Mark Edward Newton Gillard <i>Noel Charles Bramley</i> <i>Melissa Jane Noel Bramley</i>	a Chartered Surveyor <i>Citizen and Butchers</i> <i>Citizen and Butcher</i>	Wantage, Oxfordshire
Paul Edmund Harbord <i>Bryan Olive</i> <i>Cherry Patricia Kirkwood-Martin</i>	a Pharmaceutical Company Director, retired <i>Citizen and Carman</i> <i>Citizen and Carman</i>	Fareham, Hampshire
Hugh Edwin Henry Hobson <i>Harvey Andrew Roland</i> <i>Michael Ian Guile</i>	a Photographic Company Director <i>Citizen and Needlemaker</i> <i>Citizen and Wheelwright</i>	Putney, Wandsworth
Julie Anne Mills <i>Deborah Clare Ounsted</i> <i>Brian Richard Walker-Amott</i>	a Barrister <i>Citizen and Mercer</i> <i>Citizen and Loriner</i>	Kensington, Kensington and Chelsea
Michael William Heasman <i>Maurice Murray Kahn</i> <i>John Alexander Troostwyk</i>	a Business Development Manager <i>Citizen and Painter Stainer</i> <i>Citizen and Painter Stainer</i>	Sittingbourne, Kent
Peter Frederick Sinden <i>Lynette Ann Stone, CBE</i> <i>Jonathan Mark Campbell Rawlings</i>	a Restaurateur <i>Citizen and Needlemaker</i> <i>Citizen and Joiner</i>	Spitalfields, Tower Hamlets

Gerald Mark Jones <i>Graham Woodhouse</i> <i>David Cannell</i>	an Education Manager <i>Citizen and Hackney Carriage Driver</i> <i>Citizen and Hackney Carriage Driver</i>	Sydenham, Bromley
Steven Leonard Jordan	a Gemmologist and Valuer	Upper Caldecote, Bedfordshire
<i>Clare Bartley Missen</i> <i>Steven Christopher Carson</i>	<i>Citizen and Apothecary</i> <i>Citizen and Goldsmith</i>	
Ian Stuart Jeffery <i>John Russell Vaizey</i> <i>Clare Bartley Missen</i>	a Chartered Accountant <i>Citizen and Dyer</i> <i>Citizen and Apothecary</i>	Putney, Wandsworth
Carolyn Julia Piper <i>John David Beesley</i> <i>William Harry Dove, Deputy,</i> <i>MBE, JP</i>	a Sports Development Manager <i>Citizen and Hackney Carriage Driver</i> <i>Citizen and Ironmonger</i>	Redhill, Surrey
William John Edward Hill <i>Carol Jane Haynom Jackson</i> <i>David Andrew Harry</i> <i>McGregor Smith, CBE</i>	a Fish Merchant, retired <i>Citizen and Fishmonger</i> <i>Citizen and Cook</i>	Seven Kings, Ilford, Redbridge
Leslie Thomas Lynn Hill <i>Carol Jane Haynom Jackson</i> <i>David Andrew Harry</i> <i>McGregor Smith, CBE</i>	a Fish Merchant, retired <i>Citizen and Fishmonger</i> <i>Citizen and Cook</i>	Barking, Barking and Dagenham
Glyn Charles Roberts <i>David Cannell</i> <i>Graham Woodhouse</i>	a Lifeguard <i>Citizen and Hackney Carriage Driver</i> <i>Citizen and Hackney Carriage Driver</i>	Hilldrop Lane, Islington
Edward Patrick Parker <i>Richard Leslie Springford</i> <i>Richard Stuart Goddard</i>	an Accountant <i>Citizen and Carman</i> <i>Citizen and Shipwright</i>	Horspath, Oxfordshire
Joslin Yorath Lewis <i>Roger Hedgecoe, TD</i> <i>Sir Christopher Pryke, Bt.</i>	a City of London Tour Guide <i>Citizen and Gardener</i> <i>Citizen and Tallow Chandler</i>	Barnet, Hertfordshire
Gerald John Puffett <i>Thomas Thomson, CPM</i> <i>Gordon Mark Gentry</i>	a Construction Company Director <i>Citizen and Security Professional</i> <i>Citizen and Baker</i>	Putnoe, Bedford, Bedfordshire
Daniel James McDonald <i>David Burns</i> <i>Howard Sutton</i>	an Engineering Company Director <i>Citizen and Lightmonger</i> <i>Citizen and Basketmaker</i>	Billericay, Essex
Walter Leonard Freeman <i>Stanley Brown, QGM, TD</i> <i>Michael Richard Adkins</i>	a Communications Company General Manager, retired <i>Citizen and Loriner</i> <i>Citizen and Water Conservator</i>	Sidcup, Bexley
Dolan Allen Surratt <i>Ian Wilson Tough</i> <i>Matthew David Dupee</i>	a Law Enforcement Officer, retired <i>Citizen and World Trader</i> <i>Citizen and Maker of Playing Cards</i>	Denton, North Carolina, United States of America
Charles Julian Aldwyn Lyne-Pirkis <i>Oliver Arthur Wynlayne</i> <i>Lodge, CC, TD</i> <i>Peter Frederick John Lawson</i>	a Regular Army Officer, retired <i>Citizen and Pewterer</i> <i>Citizen and Basketmaker</i>	Wilton, Wiltshire
James George Ronald Gardiner <i>Alan Colin Drake Yarrow,</i> <i>Ald., Sheriff</i> <i>Wendy Mead, Deputy, Sheriff</i>	a Store Keeper <i>Citizen and Fishmonger</i> <i>Citizen and Glover</i>	Dagenham, Barking and Dagenham
Robert Clarke <i>Alan Colin Drake Yarrow,</i> <i>Ald., Sheriff</i> <i>Wendy Mead, Deputy, Sheriff</i>	a Porter <i>Citizen and Fishmonger</i> <i>Citizen and Glover</i>	Birchington, Kent
Julian William Cartwright <i>Richard Leslie Springford</i> <i>Richard Stuart Goddard</i>	an Account Director, retired <i>Citizen and Carman</i> <i>Citizen and Shipwright</i>	Tower Hamlets

Bryan Niel Savery	an Arts Producer	Walthamstow, Waltham Forest
<i>William Barrie Fraser, OBE, Deputy</i>	<i>Citizen and Gardener</i>	
<i>Thomas Dieter Dirk Hoffman, CC</i>	<i>Citizen and Tyler and Bricklayer</i>	
Oliver Felix Vincent Hatch	a Public Affairs Manager	Brixton Hill, Lambeth
<i>Kenneth Edwin Ayers, MBE, Deputy, Chief Commoner</i>	<i>Citizen and Actuary</i>	
<i>Richard David Regan, Deputy</i>	<i>Citizen and Insurer</i>	
Peter Frederick Reed	an Engineering Company Vice President	Mississauga, Ontario, Canada
<i>Neil Frederick Purcell</i>	<i>Citizen and Painter Stainer</i>	
<i>John Vincent Lawer, QC</i>	<i>Citizen and Upholder</i>	
Thomas Joseph O'Carroll	a Director of Investigations	Mississauga, Ontario, Canada
<i>John Vincent Lawer, QC</i>	<i>Citizen and Upholder</i>	
<i>Neil Frederick Purcell</i>	<i>Citizen and Painter Stainer</i>	
Susan Ann Brissenden	a Scientific Officer, retired	Four Oaks, Sutton Coldfield, West Midlands
<i>Patrick David Crabbe</i>	<i>Citizen and Maker of Playing Cards</i>	
<i>James John Madden</i>	<i>Citizen and Maker of Playing Cards</i>	
Charles Henry Wood	a Geologist	Battersea, Wandsworth
<i>Noel Charles Bramley</i>	<i>Citizen and Butchers</i>	
<i>Gemma Louise Candace Bramley</i>	<i>Citizen and Butcher</i>	
Caroline Mary Barron	a University Professor, retired	St. John's Wood, Westminster
<i>Alison Jane Gowman, Ald.</i>	<i>Citizen and Glover</i>	
<i>John Garbutt, Ald.</i>	<i>Citizen and Weaver</i>	
David Robert Dodd	An Underwriting Member of Lloyds	Chigwell, Essex
<i>Wendy Mead, Deputy, Sheriff</i>	<i>Citizen and Glover</i>	
<i>Peter Bernard Hardwick, QHP, CC</i>	<i>Citizen and Barber</i>	
John William Barfield	a Local Government Officer, retired	Canning Town, Newham
<i>Ian Patterson Wilson</i>	<i>Citizen and Arbitrator</i>	
<i>Robert Bryan Dowling</i>	<i>Citizen and Loriner</i>	
Douglas Alexander Luce Barrow	a Mortgage Consultant	Southampton, Hampshire
<i>Douglas Gordon Fleming</i>	<i>Citizen and Shipwright</i>	
<i>Barrow, Deputy</i>		
<i>Archibald Frederick Smith</i>	<i>Citizen and Shipwright</i>	
Jane Amanda Phillips	a Student	Great Totham, Maldon, Essex
<i>Douglas Graham Phillips</i>	<i>Citizen and Joiner</i>	
<i>Bruce Kevin Loneragan</i>	<i>Citizen and Joiner</i>	
Amreesh Andrew Chandra	an Educational Consultant	Goraukpur, India
<i>Kevin Malcolm Everett, CC</i>	<i>Citizen and Fletcher</i>	
<i>Antony William Mullee</i>	<i>Citizen and Marketor</i>	
Claire Tomalin	a Writer	Richmond, Richmond upon Thames
<i>The Rt. Hon The Lord Mayor</i>		
<i>Wendy Mead, Deputy, Sheriff</i>	<i>Citizen and Glover</i>	

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Report – Policy and Resources Committee

Social Investment Fund

*To be presented on Thursday 24th May 2012
To the Right Honourable The Lord Mayor, Aldermen and Commons
of the City of London in Common Council assembled.*

Summary

1. This report informs Members of the arrangements being made to establish a £20 million Social Investment Fund (SIF) from within the Bridge House Estates. The initiative has been agreed in principle by your Committee, subject to settling the management arrangements and any other necessary approvals. It is also supported by your Financial Investment Board.
2. The SIF would not impact upon the City Bridge Trust grants budget as Bridge House Estates is currently forecasting annual financial surpluses over the next several years over and above the surplus required to fund City Bridge Trust, and the projected return on the SIF would exceed the current return on relatively low yielding cash investments.
3. Events in the social investment arena are moving fast and the time appeared to be right for the City Corporation to consider establishing such Fund from within the Bridge House Estates. It would present a significant opportunity to maximise the social impact of the City Corporation's investments by making the capital work harder to achieve a social, as well as a financial, return. It would also be a tangible demonstration of the City Corporation's commitment to the social investment agenda.

Recommendation

4. It is recommended that the content of the report be noted.

Main Report

Background

1. Social investment is the use of established financial mechanisms to fund social enterprises or charities. A social enterprise is a business (or a charity with a trading arm) that trades for a social and/or environmental purpose.
2. It is different to traditional philanthropy because it has the potential to return the original investment together with interest, thus providing a sustainable way of financing community projects. With diminishing grant funding available, and an increasing demand to deliver public sector services, many charities are turning to social investment as an alternative way of financing their activities.
3. So far the majority of social investors have tended to be charitable trusts and foundations and high net worth individuals. Over time, however, it is expected that the mainstream financial services sector will participate. Deutsche Bank and HSBC recently announced social investment funds of £10 million and £4 million respectively. Last December, Cooperative Bank launched a Global Development Cooperative Fund which aims to raise \$50 million for low rate lending to cooperatives in developing countries.
4. Social investment sits within the impact investing field, where the driver for investment is the social or environmental impact it can have. Along with financing of social enterprises (Social Investment), Impact Investment also finances micro businesses and projects such as social housing and clean technology. The City Corporation has supported a number of impact investments e.g. through investment in the Capital Fund and the Environmental Technologies Fund. On 17 April 2012, Sir Michael Snyder, chaired the launch of the Small Enterprise Impact Investment Fund (SEIIF), a joint initiative by the City Corporation with Oxfam and the fund management company, Symbiotics, to promote small business in the emerging economies.
5. In support of the development of the social investment market, the Economic Development Office (EDO) and City Bridge Trust (CBT) have been working together on the social investment agenda for some time. To ensure that we are proactive, that City Corporation remains a key player in developing London as a global centre for social investment, and to help accelerate the Social Investment agenda in London generally a dedicated specialist has been recruited to help develop our strategy.

Current Position

6. Although the UK social investment market has grown slowly over the last 10 years, there has been a recent groundswell of momentum supported by Government interest demonstrated by the launch by Big Society Capital, the Peterborough Prison Social Impact Bond, and the development of the Social Stock Exchange. Overseas competitors are also keen to assume a

leadership role in particular Australia, Luxembourg, the Netherlands, Scandinavia, Singapore and Switzerland.

7. The City Bridge Trust has been part of a steering group discussing the positioning and promotion of London as a centre of global philanthropy and social investment. Within HM Government this work is being led by the Cabinet Office which has asked the City Bridge Trust to commission an action group on social investment (in addition to one on philanthropy). This is being chaired by the Chairman of this Committee.
8. It is anticipated that several major charities will announce the establishment of social investment funds in the near future.
9. Given the above, the time appeared to be right for the City Corporation to consider establishing a Social Investment Fund from within the Bridge House Estates to be a tangible demonstration of City Corporation's role at the forefront of developing the social investment agenda. It also presents us with a significant opportunity to maximise the social impact of the City Corporation's investments by making the capital work harder to achieve a social, as well as a financial, return and would also raise the profile of the City Corporation in the social investment arena.
10. To have the right impact the size of the proposed Social Investment Fund needed to be significant and it was considered that a sum of £20 million could be invested from Bridge House Estates for these purposes. This would not impact upon the City Bridge Trust grants budget as Bridge House Estates is currently forecasting annual financial surpluses over the next several years over and above the surplus required to fund City Bridge Trust, and the projected return on the SIF would exceed the current return on relatively low yielding cash investments.
11. Criteria for investments to be made from the £20m fund would meet both financial and social criteria.
12. We therefore endorsed in principle the proposal of a £20m Social Investment Fund from the investment capital of Bridge House Estates subject to further reports on the operational detail (including the process for drawing up and approving the criteria and approval process/ framework for the Fund) and due diligence arrangements. This would of course be dispersed over a number of years to a number of projects meeting criteria which are yet to be agreed and the relevant Committees and Boards will need to be involved in this process.
13. A Social Investment Fund would support the vision of the City Corporation's Corporate Plan 'to support and promote the City of London as the world leader in international finance and business services, and will maintain high quality, accessible and responsive services benefiting its communities, neighbours, London and the nation' as well as the key medium term policy priorities of "Being a good neighbour" and "Supporting people and business in recovering from the economic downturn." It would also support the City's equalities and sustainability objectives.

14. The Trustees of the Bridge House Estates Trust have broad powers to achieve a financial return on the charity's investments. Whilst £20m is obviously a sizable figure and should have a real impact, it nevertheless reflects a small proportion of the Trust's overall investments. Any investment will be made in accordance with the guidance issued by the Charity Commission and appropriate internal guidance and investment criteria will be produced. Overall, the Trust's investments aim to achieve the best financial return within the level of risk considered to be acceptable and this approach will be adopted for this Fund.

Conclusion

15. The City Corporation, with the City Bridge Trust, is at the forefront of the UK's social investment agenda. But other financial districts are also interested in taking a global leadership role on this agenda and the time is right for the City Corporation to mount a step change. The establishment of a Social Investment Fund within the investment portfolio of Bridge House Estates not only tangibly demonstrates our commitment to the agenda and bolster the City Corporation's profile, but is a significant opportunity to maximise the social impact of the City Corporation's investments by making the capital work harder to achieve a social, as well as a financial, return. To have the necessary impact a sum of £20 million has been recommended: this would be invested over a number of years in a number of projects meeting agreed criteria.
16. The Chairman of this Committee will be hosting an informal briefing/discussion breakfast meeting for Members who are interested in the area of social investment and details of this will be available shortly.

All which we submit to the judgement of this Honourable Court

DATED this 3rd May 2012

SIGNED on behalf of the Committee

Mark Boleat
Chairman of the Policy and Resources Committee



Report – Policy and Resources Committee

Appointment of High Officers

*To be presented on Thursday 24th May, 2012
To the Right Honourable The Lord Mayor, Aldermen and Commons
of the City of London in Common Council assembled.*

Summary

1. This paper reports the outcome of a review of the appointment of the City Corporation's High Officers and, in particular, their election by the Court of Common Council. It follows the discussion which took place at the Court in January about the appointment process at the time of the appointment of the Comptroller and City Solicitor. Some Members queried whether the current process was satisfactory and whether the Court should be given a choice of candidates.
2. Your Policy and Resources Committee has considered the current arrangements in detail and has concluded that as the Appointment Panel is properly constituted by an appointing Committee under the authority of the Court, the Panel is empowered to assess candidates and present a candidate(s) to the Court for appointment. That candidate(s) should appear before the Court and have the ability to address Members. The Establishment Committee (under the urgency procedures) concurs with this view.

Recommendations

It is recommended that:-

- 1) the current practice of an Appointment Panel being appointed to carry out the recruitment and interview process and then make a recommendation to the Court be maintained;
- 2) the preferred candidate(s) be required to appear before the Court of Common Council and address Members accordingly; and
- 3) the current arrangements for a question and answer session in the Court be dispensed with in future.

Main Report

Background

1. There are five City Corporation High Officers who are appointed by the Court of Common Council. These are:-
 - a. Town Clerk and Chief Executive
 - b. Chamberlain
 - c. Commissioner of the City of London Police
 - d. Comptroller
 - e. Remembrancer

The Commissioner of the City of London Police requires Royal Assent and the exercise of the Remembrancer's parliamentary functions also requires Parliamentary Assent.

2. The current procedure for appointing these Officers is for an Appointment Panel to be formed to interview and assess candidates and then for the suitable candidate(s) to be put before Court and elected by ballot. There is no formal decision regarding how many candidates the Court can consider for these posts. Over the last few years the assessment process has become more rigorous and, for a variety of reasons, appointment panels have deemed one person suitable for appointment and submitted only that individual to the Court.
3. At the Court on 19 January, at the time of the appointment and election of the Comptroller and City Solicitor, several Members expressed concern over the process, including whether a presentation and set questions from the floor was a satisfactory means of assessing candidates. Some Members were also concerned about the Court being presented with only one candidate and questioned whether Members should have a choice. However, this was not an overwhelming view and other opinions were expressed including that the Court is too large to carry out any 'interview'.
4. Whilst the discussion at Court on 19 January concluded with the acceptance of the process for the appointment of the Comptroller and City Solicitor it was agreed that the matter should be reviewed and the outcome reported to the Court.

The Current Position

5. The general principle of the Court of Common Council making the final decision on the appointment and election of the High Officers should be maintained. All Members should continue to be involved in the process in a meaningful way.
6. No decision has ever been taken that only one candidate would be presented to the Court. However, over the years employment law, in particular discrimination in recruitment, has changed and now includes gender, ethnic

origin and age. We need to follow a rigorous process and this means that we have to have the assessment of the candidates and the final choice documented in the event of challenge. It is most common in demonstrating a fair and rigorous process that employers are most vulnerable. The City Corporation's process needs to balance those legal requirements with the long-standing election of these senior posts by the Court.

7. If two candidates are assessed as "equal" then a secret ballot by the Court to determine the appointment might be able to be justified but it would still be difficult for us to evidence on the basis on which the final decision was made. Ultimately, should a challenge occur, an Employment Tribunal may wish to see those who made that decision and of course it would be impossible for the whole of the Court to attend.
8. If candidates are put forward to simply provide a "choice", it would be even more difficult to justify a selection on the basis of a presentation, four questions and a secret ballot. Neither of course is it practicable for 125 Members to be involved in a meaningful way in the whole recruitment process.
9. The issues described above arise from the so-called "reverse burden of proof" in discrimination cases now set out in the Equality Act 2010. The Act makes provision for nine "protected characteristics" namely age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion or belief and sexual orientation. In proceedings for unlawful discrimination s.136 of the Act provides:-
 - (a) If there are facts from which the court or tribunal could decide, in the absence of any other explanation that an employer contravened the Act, the court or tribunal must hold that the contravention occurred.
 - (b) This does not apply if the employer shows (on a balance of probabilities) that he did not contravene the position.
10. Thus, if the claimant can prove, on a balance of probabilities, that there are facts from which the tribunal could conclude that unlawful discrimination has occurred the burden shifts to the employer to prove that there is an adequate explanation.
11. The provision arises because it is unusual to find direct evidence of unlawful discrimination. Hence employers are well advised to ensure that their recruitment decisions are clearly based on an assessment of skills, experience and an ability to undertake the role in question.
12. Notwithstanding this, and bearing in mind the views expressed by some Members in January, consideration has been given to how the recruitment process might be enhanced to give more Members an opportunity to play a meaningful part.
13. For appointments that involve Members, the formal recruitment panel is usually no more than about 7 or 8 individuals which is probably the optimum number that would reasonably be expected to serve. Any more and it would be difficult for all panel members to ask questions and the process becomes

unwieldy for both the candidate and the panel. The Appointment Panel Members are required to dedicate approximately two days to this process.

14. The Comptroller & City Solicitor has advised that under s.7 of the Local Government and Housing Act 1989 every appointment made by the City Corporation in its capacity as a local authority, police authority and port health authority must be made on merit. The City Corporation has a broad discretion as to the processes it adopts to appoint its officers as long as the appointments are made on merit.
15. It has been suggested that one way of involving more Members might be to allow a specified number to participate in the assessment of candidates in addition to the Appointment Panel. The idea being that these Members would act in an advisory capacity only. They would have the opportunity to see each of the shortlisted candidates and their views and conclusions would be used to inform the Appointment Panel. However, your Committee feels that, on balance, this would complicate the process unnecessarily. In reaching this conclusion the Committee was mindful of the fact that the Appointment Panel is already a properly appointed body, constituted by the appointing Committee under the authority of the Court. This view is supported by the Establishment Committee.
16. As part of the review, consideration was also given to whether a presentation and set questions from the floor was a satisfactory means of assessing candidates. The Committee felt that whilst it is important for the Court to be able to hear a presentation from the candidate(s) to help make a decision on whether the individual has the necessary characteristics, the question and answer session is not so meaningful. This part of the process does not add any value and we concluded that it should therefore be dispensed with.

Conclusion

17. Your Committee is therefore of the view that the current practice of an Appointment Panel being appointed to carry out the recruitment and interview process and make a recommendation to the Court should be maintained. The practice of the preferred candidate being required to appear before the Court of Common Council should also continue and the candidate should also be required to address Members accordingly. Given that the question and answer session adds very little value to the process that practice should now be dispensed with.

All which we submit to the judgement of this Honourable Court

DATED this 3rd May 2012

SIGNED on behalf of the Committee

Mark Boleat
Chairman of the Policy and Resources Committee

Agenda Item 14

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